

ESMA consults on notifications for cross-border marketing and management of funds



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The European Securities and Markets Authority (ESMA), the EU's securities markets regulator, is consulting stakeholders on the information and templates to be provided, and used by firms, when they inform regulators of their cross-border marketing and management activities under the UCITS Directive and the AIFMD.

The purpose of the draft ITS and RTS is to facilitate the process for notifying cross-border marketing and management activities in relation to UCITS and AIFs. This will be achieved by defining harmonised information to be notified to competent authorities, and developing common templates to be used by management companies, UCITS and AIFMs.

Consultation process

This consultation will be of particular interest to alternative investment fund managers, internally managed AIFs, UCITS, management companies, internally managed UCITS, and their trade associations, as well as professional and retail investors investing into UCITS and AIFs and their associations.

The closing date for responses to the consultation is 9 September 2022.

Next steps

Following the consultation period, the draft RTS and ITS will be finalised and submitted to the European Commission.

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